

U.S. Department of Labor
Employment and Training Administration

**Conditional Certifications Issued
Work Opportunity & Welfare-to-Work Tax Credits -
Report No. 1**

State	Quarter Ending	Persons are not required to respond to this collection of information unless it displays a currently valid OMB control number. Respondents obligation to reply to these reporting requirements are Mandatory (P.L. 104-188). Public reporting for this collection of information is estimated to average 8 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestion for reducing this burden, to the U.S. Department of Labor, U.S. Employment Service, Room N-4470, Washington, D.C. 20210. (Paperwork Reduction Project 1205-0371)		OMB Approval No. _____ Expires: _____			
I. By Issuing Agency	Number of Conditional Certifications Issued		II. By WOTC Target or Long Term IV-A Recipient Group			Number of Conditional Certifications Issued	
	WOTC	WtW	Dual Tax Credit	WOTC	WtW	Dual Tax Credit	
1. Employment Service Offices				12. IV-A Recipient			
2. 1-Stop Career Centers				13. Veteran			
3. JTPA SDA's Substate Agencies and Their Providers				14. Ex-Felon			
4. Job Corps Centers				15. High-Risk Youth (EZ/EC)			
5. Voc Rehab Agency				16. Voc Rehab Referral			
6. Veteran Affairs Offices				17. Summer Youth (EZ/EC)			
7. Welfare Offices				18. Food Stamp Recipient			
8. Social Security District Offices				19. SSI Recipient			
9. All Other Participating Agencies				20. Long-Term IV-A Recipient (WtW)			
10. TOTAL (for quarter)				21. TOTAL (for quarter)			
11. TOTAL (year-to-date)				22. TOTAL (year-to-date)			
Name and Title of Responsible Official (type or print):			Signature		Date Signed:		

Instructions for Preparing ETA Form 9057 - Report 1:

ETA Form 9057 - Conditional Certifications by Tax Credit, Work Opportunity and Welfare-to-Work Tax Credits - Report No. 1 is designed to report the number of Conditional Certifications (ETA Form 9062) issued during each reporting quarter by the DLAs and participating agencies. The report should be prepared using data collected through ETA Form 9062.

This report also reflects the changes enacted by P.L. 105-34. In addition to reauthorizing the WOTC and expanding WOTC target groups to include certain SSI recipients, P.L. 105-34 also established a second tax credit, the Welfare-to-Work Tax Credit, for certain long-term IV-A recipients. Therefore this report collects quarterly data on conditional certifications by each tax credit. Further, because some individuals may be eligible for both tax credits, and because such individuals must be issued dual certifications, all data collected on conditional certifications must be reported by dual certification, as well as by WOTC and WtW tax credit.

The report is divided into two sections. The first section, entitled "I. By Issuing Agency," reports the number of WOTC conditional certifications (CCs), the number of WtW CCs, and the number of dual (WOTC/WtW) CCs issued by SESAs and all participating agencies (boxes 1-11).

Where no CCs have been issued, please enter "0."

The second section, entitled "II. By WOTC Target or Long-Term IV-A Recipient Group," reports the same information -- the number of WOTC CCs, the number of WtW CCs, and the number of dual (WOTC/WtW) CCs, by WOTC target group and by long-term IV-A recipient (boxes 12-22).

Where no CCs have been issued, please enter "0."

The quarterly and year-to-date (cumulative) totals in Section I (boxes 10-11, respectively) should equal the quarterly and year-to-date (cumulative) totals in Section II (boxes 21-22, respectively).

NOTE: This form no longer reports conditional certifications by type, i.e., by whether they are original or revalidated (box 3 of ETA 9062). Therefore, counts of CCs in boxes 1-9 and 12-19 should not distinguish between original and revalidated CCs. For example, if in the first quarter of Fiscal Year 1998, an agency issued 70 original and 30 revalidated CCs, that agency issued 100 CCs.

Note also that this form no longer reports conditional certification invalidations.